

**IN THE CLAIMS**

**Claims pending**

- At time of the Action: Claims 1-74
- After this Response: Claims 1-40

**Canceled or Withdrawn claims:** 41-74

**Amended claims:** Claims 1 and 40

**New claims:** None

1. **(Currently Amended)** Interfaces, embodied-stored on one or more computer-readable media, to be called on kernel transaction management objects, comprising:

application program interfaces (APIs) to implement operations on a kernel transaction object (TX), at least one TX representing a transaction and being accessible to at least one process participating in the transaction;

APIs to implement operations on a kernel resource management object (RMO), at least one RMO representing a relationship between a TX associated with a corresponding transaction manager and at least one resource that participates in the transaction; and

APIs to implement operations on a kernel enlistment (EN) object, at least one EN representing a relationship between a resource manager and the transaction.

2. (Original) Interfaces according to Claim 1, wherein each of the APIs to implement operations on TX, RMO, and EN utilize a handle to refer to an object.

3. (Original) Interfaces according to Claim 2, wherein each of the handles is an opaque reference to a unique object.

4. (Original) Interfaces according to Claim 2, wherein at least one API calls for TX to transmit pre-prepare messages to resource managers associated with a transaction.

5. (Original) Interfaces according to Claim 2, wherein at least one API calls for TX to transmit a prepare request to resource managers enlisted in a transaction.

6. (Original) Interfaces according to Claim 2, wherein at least one API calls for a new TX to be created for a transaction.

7. (Original) Interfaces according to Claim 2, wherein at least one API calls for an existing TX to be opened for a transaction.

8. (Original) Interfaces according to Claim 2, wherein at least one API calls for TX to commit a transaction.

9. (Original) Interfaces according to Claim 2, wherein at least one API calls for TX to abort a transaction.

10. (Original) Interfaces according to Claim 2, wherein at least one API calls for TX to save a current state of the transaction.

11. (Original) Interfaces according to Claim 2, wherein at least one API calls for TX to retrieve information about the TX for a requestor.

12. (Original) Interfaces according to Claim 2, wherein at least one API calls for TX to set information.

13. (Original) Interfaces according to Claim 2, wherein at least one API calls for TX to close.

14. (Original) Interfaces according to Claim 2, wherein at least one API is:

PreprepareEnlistment,

PrepareEnlistment,

OpenEnlistment  
CreateTransaction,  
OpenTransaction,  
CommitTransaction,  
RollbackTransaction,  
SavepointTransaction,  
GetTransactionInfo, and  
SetTransactionInfo.

15. **(Original)** Interfaces according to Claim 2, wherein at least one API calls for a new RMO to be created.

16. **(Original)** Interfaces according to Claim 15, wherein the new RMO is volatile.

17. **(Original)** Interfaces according to Claim 15, wherein the new RMO is durable.

18. **(Original)** Interfaces according to Claim 2, wherein at least one API calls for an existing RMO to open for a transaction.

19. (Original) Interfaces according to Claim 2, wherein at least one API calls for RMO to be destroyed.

20. (Original) Interfaces according to Claim 2, wherein at least one API calls for RMO to transmit information regarding RMO to a requestor.

21. (Original) Interfaces according to Claim 2, wherein at least one API calls for RMO to set information.

22. (Original) Interfaces according to Claim 2, wherein at least one API calls for RMO to be enlisted on a transaction at least once.

23. (Original) Interfaces according to Claim 2, wherein at least one API calls for a notification from a resource manager for RMO.

24. (Original) Interfaces according to Claim 2, wherein at least one API is:

CreateResourceManager,

OpenResourceManager,

DestroyResourceManager,

GetResourceManagerInfo,

SetResourceManagerInfo,

CreateEnlistment, and  
GetNotificationResourceManager.

25. **(Original)** Interfaces according to Claim 2, wherein at least one API is to implement operations on TX by RMO.

26. **(Original)** Interfaces according to Claim 25, wherein the at least one API is to inform TX that pre-preparing is complete.

27. **(Original)** Interfaces according to Claim 25, wherein the at least one API is to inform TX that transaction preparation has been completed by a requested resource manager.

28. **(Original)** Interfaces according to Claim 25, wherein the at least one API is to inform TX that a resource manager has completed rolling back a transaction.

29. **(Original)** Interfaces according to Claim 25, wherein the at least one API is to inform TX that a resource manager has committed to a transaction.

30. **(Original)** Interfaces according to Claim 25, wherein the at least one API is:

PrePrepareComplete,  
PrepareComplete,  
RollbackComplete, and  
CommitComplete.

31. (Original) Interfaces according to Claim 2, wherein at least one API calls for a resource manager to be registered as a communications resource manager for a particular protocol.

32. (Original) Interfaces according to Claim 2, wherein at least one API calls for a representation of a transaction to be serialized into a buffer.

33. (Original) Interfaces according to Claim 2, wherein at least one API calls for information representing registered protocols to be serialized into a buffer.

34. (Original) Interfaces according to Claim 32, wherein at least one API calls for a transaction represented by the serialization be made available by a transaction management object.

35. (Original) Interfaces according to Claim 2, wherein at least one API calls for a transaction to be propagated to a destination using push-style propagation.

36. (Original) Interfaces according to Claim 35, wherein at least one API calls for the output of the API calls for the transaction to be propagated to a destination using push-style propagation to be retrieved.

37. (Original) Interfaces according to Claim 31, wherein at least one API is called when transaction propagation has been completed.

38. (Original) Interfaces according to Claim 31, wherein at least one API is called when requested transaction propagation has failed.

39. (Original) Interfaces according to Claim 2, wherein at least one API is:

RegisterProtocolAddressInformation,

MarshallTransaction,

GetProtocolAddressInformation,

PullTransaction,

PushTransaction,

PushTransactionBuffer,

PropagationComplete, and

PropagationFailed.

40. (Currently Amended) An apparatus for implementing a transaction, comprising:

a kernel transaction object (TX) to represent a transaction and being accessible to at least one process participating in the transaction;

a kernel resource manager object (RMO) to represent a relationship between a TX associated with a corresponding transaction manager and at least one resource that participates in the transaction; and

a kernel enlistment object (EN) to represent a relationship between a resource manager and the transaction,

wherein two-phase commit processing is executed by calling APIs on the TX, the RMO, and the EN.

41-74. (Canceled)